

PCT/IB04/2345



Europäisches  
Patentamt

European  
Patent Office

Office européen  
des brevets

PCT/IB04/02345

Bescheinigung

Certificate

Attestation

Die angehefteten Unterla-  
gen stimmen mit der  
ursprünglich eingereichten  
Fassung der auf dem näch-  
sten Blatt bezeichneten  
europäischen Patentanmel-  
dung überein.

The attached documents  
are exact copies of the  
European patent application  
described on the following  
page, as originally filed.

Les documents fixés à  
cette attestation sont  
conformes à la version  
initialement déposée de  
la demande de brevet  
européen spécifiée à la  
page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

03292704.8

**PRIORITY DOCUMENT**  
SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH  
RULE 17.1(a) OR (b)

REC'D 04 AUG 2004

WIPO

PCT

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

R C van Dijk



Anmeldung Nr:  
Application no.: 03292704.8  
Demande no:

Anmeldetag:  
Date of filing: 29.10.03  
Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

SCHLUMBERGER Systèmes  
50, avenue Jean Jaurès  
92120 Montrouge  
FRANCE

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se référer à la description.)

Procedure for monitoring the usage of a broadcasted content

In Anspruch genommene Priorität(en) / Priority(ies) claimed /Priorité(s)  
revendiquée(s)

Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

EP/23.07.03/EP 03291823

Internationale Patentklassifikation/International Patent Classification/  
Classification internationale des brevets:

H04N7/16

Am Anmeldetag benannte Vertragsstaaten/Contracting states designated at date of  
filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL  
PT RO SE SI SK TR LI

## **Procedure for monitoring the usage of a broadcasted content**

### **5 DESCRIPTION**

#### **Field of the Invention**

The invention describes mechanisms to enable an accurate monitoring of the services used by a subscriber of a service, in particular a broadcast service.

10 The invention relates to services that are broadcasted through a network, in particular a wired/wireless. The invention also applies particularly to services transmitted in an encrypted manner with keys that are managed inside a tamper resistant module such as a smartcard or any module which is indifferently external or internal to a communication device able to receive services by way of a network.

15

#### **Prior Art**

-A broadcast service corresponds to a specific data flow that is broadcasted through a network. Figure 1 gives a schematic view of a service including three data flows. To enable that only subscribed users may access to a specific  
20 service, this data flow may be encrypted with an encryption key (EK) that is given through different mechanisms to users who has subscribed to this particular service.

-To avoid that unsubscribed users may access to the EK and so be able to use  
25 the service for free, this EK is usually renewed frequently. One of the mechanisms of this renewal of keys that is currently used consists on the following components:

5 -a smart card (or any other hardware protected and tamper resistant module) is provided to the subscribers accessing a particular service. This smartcard is provisioned with a key encryption key (KEK), which is the same for all subscribers accessing this particular service. This KEK may also be updated by means of different mechanisms. One needed characteristic of this KEK is that it is never revealed in clear outside the smartcard. Whether it needs (for managing purposes, for instance) to be handed through unsafe network entities (e.g. the terminals) this is also encrypted. The KEK is identified by a KEK Identifier (KEK\_ID), associated to a particular broadcasted service.

10 - each data flow is broadcasted encrypted with a respective key EK. The data flow contains regularly some data, Management Container (MC), which is used for key management and eventually for other purposes. This MC may contain:

- The identifier of the KEK (KEK\_ID) that is being used in the current broadcasted service.
- 20 • An encrypted encryption key (EEK), that corresponds to the EK being used in the current data flow encrypted with the KEK corresponding to the KEK\_ID being sent.
- Other additional data that will be further considered in this document.

25 -A terminal that is responsible to listen the data flow corresponding to the broadcasted service. The terminal is also responsible for decrypting the data flow using the valid EK.

30

5 -To obtain the valid EK the terminal regularly receives the MC and retrieves the KEK\_ID and the EEK. Further, it sends this information to the smartcard, asking it to decrypt this EEK to obtain the corresponding EK. This decryption is performed using the KEK (stored in the smartcard or derived inside the smartcard) that corresponds to the KEK\_ID being used. If the KEK\_ID is known by the smartcard, it can then decrypt the EEK and send the EK back to the terminal. In this way, the terminal can continue to decrypt the data flow.

10 -As it is shown in the figure 1, the broadcast service provider is able to dynamically change regularly the EK, just by sending a new EEK in a previous MC message. On this figure, the Broadcast Data Flow Includes:

- 15
- Data Flow (EK1): Data Flow associated with a particular service encrypted with EK1
  - MC1: Management container including a new EEK2 associated with a new EK2.
  - MC2: Management container including a new EEK3 associated with a new EK3.

20 The explained model is well adapted to provide a frequent renewal of keys based in the above broadcast principles. In this model, a particular user does not need to contact the service provider every time that new encryption keys are needed to decrypt the content. the terminal just needs to obtain the EEK listening to the  
25 broadcasted data flow and ask locally the smartcard to retrieve the EK needed.

However, there is a main limitation in this model: the service provider, or any other network entity responsible for control or charging of a particular broadcast service, hereafter referred as service controller (SC), is not able to know whether  
30 the user has effectively used this particular service.

As the renewal of keys is performed locally, the problem is that the service controller SC is not able to know whether the user has effectively used the broadcast content, as it is not aware if the broadcasted EEK has being used by the terminal or not. This is a big problem for services that are charged by the amount of data being used (time or volume charging).

### The Invention

The aim of the invention is to facilitate the use of a service by an operator.

According to the invention, the smartcard is provided with one or more counters associated to a particular broadcast service. The invention comprises the following steps:

- A counting step, in which a memory location stores a count of occurrences of decryption steps of data flow attached to a same service;
- A using step, in which said counter is used to prove the amount of data flow which has been decrypted.

So that, a counter is incremented each time a decryption step is performed. In this way an operator can easily monitors the use of services.

We will see that, thanks to the invention, the terminal is able to send back parameters describing the time (or the volume of data) that the user has been using a particular broadcast service.

Advantageously, as the terminal is highly suitable to be attacked by a user, we will see that this is the smart card which will be used to monitor the use of services.

It will be easier to understand the invention on reading the description below, given as an example and referring to the attached drawings.

In the drawings.

- Figure 1 is a schematic view of data flow included in a service.
- Figure 2 is a block diagram view of the architecture of a computer system on which the solution can be applied.
- 5      - Figure 3 is an algorithm illustrating the main steps of the invention.
- Figure 4 illustrates an embodiment in which some additional data are added to flow data attached to a broadcast service.

**Detailed description of examples illustrating the invention**

To simplify the description, the same elements illustrated in the drawings have  
10 the same references.

Figure 1 represents a system SYS including a user equipment communicating with a server SERV by way of a network NET such as Internet or private network. The user equipment consists of two parts: the Mobile Equipment ME and the Subscriber Identity Module CARD. The mobile equipment ME is the radio  
15 terminal used for radio communication between the user equipment and the server SERV. In our example, the card CARD is a USIM smart card that holds the subscriber identity, performs authentication algorithms, and stores authentication and encryption keys and some subscription information that is needed at the terminal.

20

The server SERV is able to provide a service to said mobile equipment.

The proposed solution consists in the following new elements:

- 25      -The smartcard is provided with one or more counters associated to a particular broadcast service (and so, to a particular KEK). These counters are referred hereafter as encryption key counters (EKC).

Additionally the smartcard is provided with at least three fields for each of the broadcast services: Current EEK (CEEK) and current EK (CEK) and one or more maximum EKC value (MEKC) (one for each EKC).

- 5 - After the terminal has received a service, the following procedures are applied (see fig 3) :

10 -Every time the terminal needs to renew the EK (associated with the reception of a MC message) It sends in a 1<sup>st</sup> step ET1 a PROVIDE-EK command to the smartcard. This command contains at least the broadcasted values KEK\_ID and the EEK.

-The smartcard receives these values and performs the following tasks:

15 A) In step ET2, It searches if the KEK\_ID exist (meaning that the using is subscribed to this particular broadcast service). If it does not, it refuses further processing of the command, sending a corresponding error message to the terminal (step ET21). If it exist it continues the execution.

20 B) In step ET3, It tests whether the EEK correspond to the stored CEEK. If it does, it sends back the stored CEK in step ET31. Else, It continues the execution.

25 C) In step ET4, it tests whether each of the EKC is smaller than the MEKC associated; if yes, in step ET4, it adds one to the EKC values and continue in step ET5. Else, it stops the execution at step ET41, sending a corresponding error message to the terminal.

30 D) In step ET6, it uses the content of the KEK associated with the KEK\_ID to decrypt the EEK obtaining the new EK to be used by the terminal for decrypting the data flow. Further it stores in step ET7 this values in CEEK and CEK. Then, in step ET8, it sends back the current EK to the terminal.



-Additionally, referring to figure 4, a management container MC may contain additional management data (AMD) containing:

-A command header defining at least one of the following functions:

5

A-Change KEK

B-Reset/Update counter

C-Retrieve Subscription data. (e.g. EKC)

-At least the following command parameters depending on the command header:

10

A-KEK\_ID and New KEK value

B- KEK\_ID, counter number, reset value

C- KEK\_ID

15

Preferably, this additional management data AMD is encrypted with an upper level key, management key (MK) that can be provisioned in each of the smartcards.

20

-When receiving a management container MC that contains an encrypted AMD, the terminal, will pass it to the card through a MANAGEMENT\_OPERATION command. The card will perform the corresponding actions and will send back to the terminal the corresponding results/response data encrypted and integrity protected with the same MK. The terminal will be responsible to send back this information to the server SERV through a known protocol based in a point-to-point mechanism.

25

Additionally, the same procedure may be defined if the AMD is not broadcasted in the Data flow but sent directly to the terminal in a point-to-point schema.

30

The main advantage of this approach is that it is resistant to attacks in the terminal:

5           A-Some AMD are needed to perform some required operations to enable the subscriber continue receiving the Broadcast service (e.g. modify KEK\_ID)

10           B-Further, from the terminal/user perspective, it is impossible to know which is the nature of the command/results being sent to/from the smartcard,

15           As a consequence from A and B, the terminal cannot be modified/hacked in order to tamper/avoid the correct commands that are responsible of the subscriber charging, without consequences in the subscriber's service. (denial of service).

20           For example, a Mobile Network Operator (MNO) offers to its subscribers the possibility to subscribe to one Multimedia Broadcast Service (MBS). All MNO's subscribers have a terminal that may listen the broadcasted data. However only the subscribers of the Broadcast service are provided with the following mechanisms in its USIM (Universal subscriber identity module):

- 25           - A KEK\_ID corresponding to this Service  
            - Two counters EKC1, EKC2  
            - One MEKC2  
            - A MK that may be associated with different services.

30           The service is provided following some principles:

- The KEK is usually changed once per month.

-The subscribers are charged each month by the amount of time that have been accessing the service.

-For parental control restrictions policy, some subscribers are limited to a certain amount of time each day. The MECK2 is then provisioned to a certain value.

5

The EK is changed regularly (each minute). Additionally, MC message are broadcasted more often, even with the same KEK-ID and EEK pairs (With or without AMD). When the subscriber is using the service, a PROVIDE-EK command with a new EK is then performed on the average of once a minute.

10

The following communications related to this particular MBS can be held between the terminal and the MNO in a point-to-point base:

15 -Once a day each terminal/USIM receives an AMD containing a Reset/Update counter request with the value zero to the MECK2 counter. The MNO receives a confirmation of the result of this operation.

-At least once a month, the terminal/USIM receives an AMD containing a Retrieve Subscription data command. The command result is sent back to the MNO. This is used by the MNO to generate the corresponding charging records by using the EKC1 counter value.

20

-For security reasons the KEK is usually changed at least once per month by receiving the terminal/USIM the Change KEK command.

25 Additionally different services may be provided with different KEK\_ID. The different combinations of EK change, EKC and MEKC provide the necessary flexibility in the charging and monitoring of the service being used.

**CLAIMS**

1. Method for monitoring the usage of a service by a communication device coupled to a tamper resistant module, in particular a smart card, said service being transmitted from a resource able to communicate with said communication device by way of a network, said service comprising a plurality of encrypted data flow, the use of said service comprising successive decryption steps of data flow by a respective first key EK, said first key EK being encrypted in the data flow and decrypted in the tamper resistant module by way of a second key KEK stored in said tamper resistant module or derived inside said module, characterized in that said method comprises the following steps:
  - a. A counting step, in which a memory location stores a count of occurrences of decryption steps of said first key EK attached to a same service;
  - b. A using step, in which said counter is used to prove the amount of data flow which has been decrypted.
2. Method according to claim 1, characterized in that the module stores a predetermined fixed number, and in that it comprises a comparison step in which the incrementing counter is compared to the predetermined fixed number for checking if the counter has reached or not the value of the fixed number; if yes, adequate action can be performed.
3. Method according to claim 1, characterized in that a command is sent to the tamper resistant module for renewing the key KEK.
4. Method according to claim 1, characterized in that a command is sent to the tamper resistant module for Resetting/Updating the counter.
5. Method according to claim 3 or 4, characterized in that said command is encrypted by a third key (MK) known by the tamper resistant module.

6. Method according to claim 2, characterized in that the action is the completion of decryption steps.
7. Method according to claim 1, characterized in that, each first key is sent periodically, and in that the amount of data is converted into time of use  
5 limiting the use of a service in time.
8. Method according to claim 4 or 5, characterized in that said commands are transmitted to the tamper resistant module by way of the communication device, said communication device including a program for authorizing the transmission of such commands without reading its  
10 content.
9. Data processing module, in particular a smartcard, able to receive services from a network, said services comprising a plurality of encrypted data flow, the use of said service comprising successive decryption steps of data flow by a respective first key EK, said first key EK being encrypted  
15 in the data flow and decrypted in said module by way of a second key KEK stored in said module or derived inside said module, characterized in that module comprises a microcontroller able to perform the following steps:
- a. A counting step, in which a memory location stores a count of occurrences of decryption steps of said first key EK attached to a  
20 same service;
- b. A using step, in which said counter is used to prove the amount of data flow which has been decrypted.
10. Computer program including program code instructions to execute the counting step of the method defined in claim 1, when said program is  
25 executed on a data processing device as defined in claim 9.

**Abstract**

Method for monitoring the usage of a service by a communication device coupled to a tamper resistant module, in particular a smart card. A said service is  
5 transmitted from a resource able to communicate with said communication device by way of a network. The service comprises a plurality of encrypted data flow and its use comprises successive decryption steps of data flow by a respective first key EK, said first key EK being encrypted in the data flow and decrypted in the tamper resistant module by way of a second key KEK stored in  
10 said tamper resistant module or derived inside said module. The invention is characterized in that said method comprises the following steps:

- a. A counting step, in which a memory location stores a count of occurrences of decryption steps of said first key EK attached to a same service;
- 15 b. A using step, in which said counter is used to prove the amount of data flow which has been decrypted.

1/3

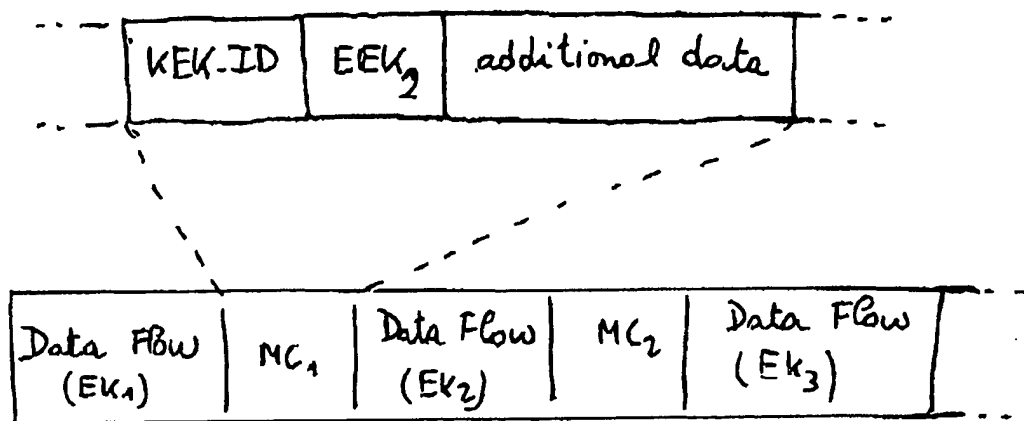


figure 1

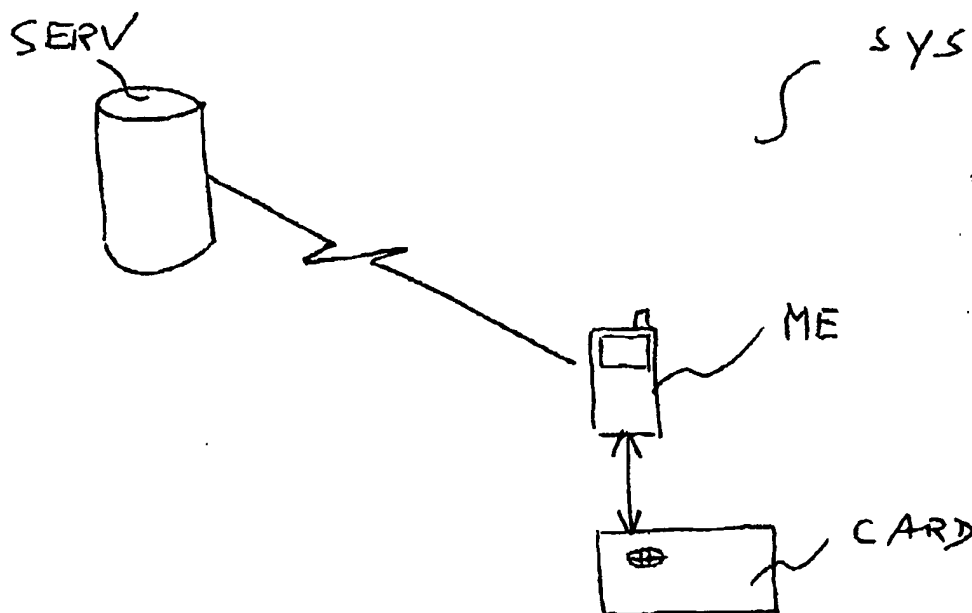


figure 2

2/3

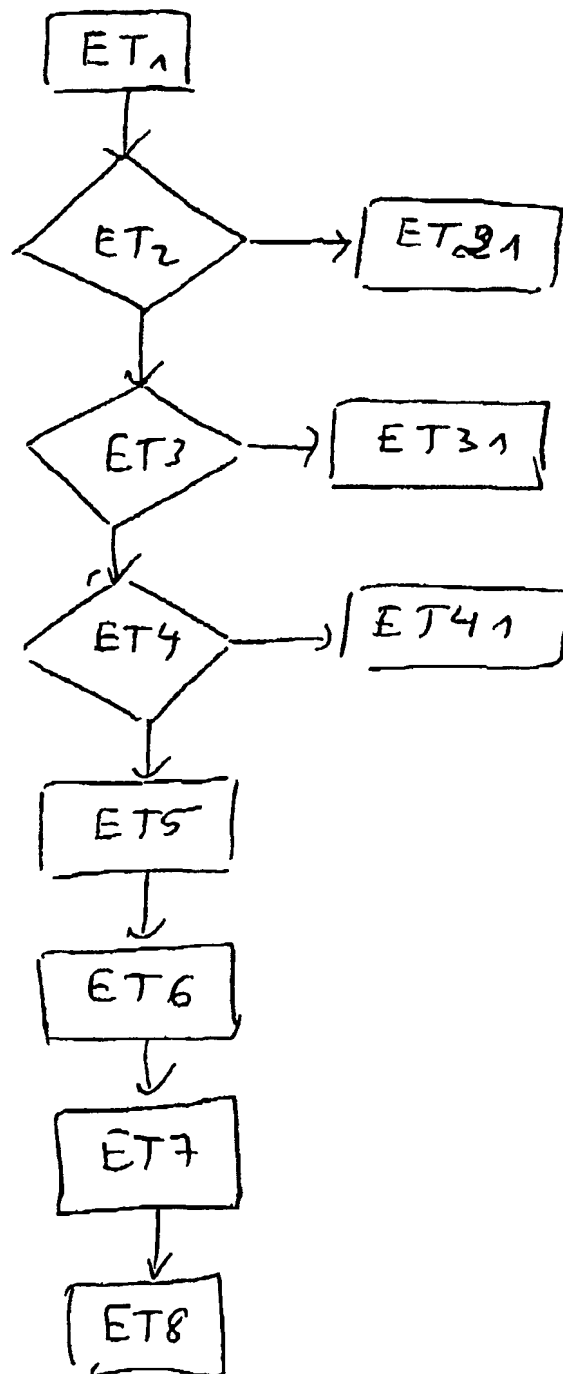


Figure 3



3/3

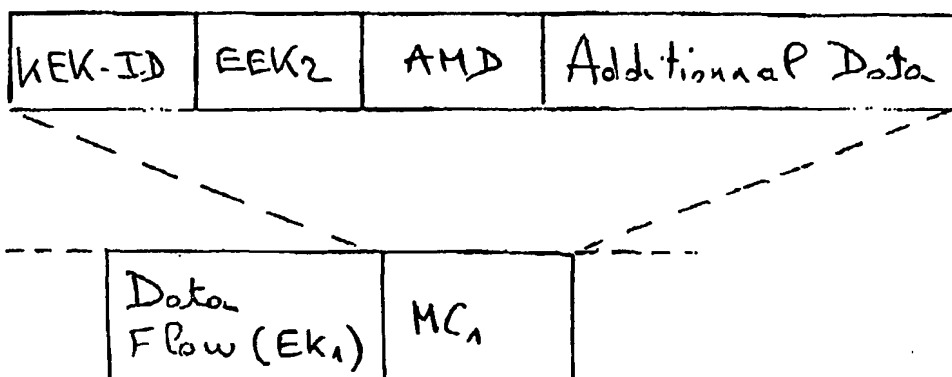


figure 4

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**